ANODIC OXIDATION OF CYCLOHEPTATRIENE SYSTEMS AND ITS APPLICATION TO THE SYNTHESIS OF NON-BENZENOID AROMATIC COMPOUNDS^{1,2}

Tatsuya Shono, Tetsuo Nozoe.⁰ Hirofumi Maekawa.⁴ Yoshihide Yamaguchi. Shinya Kanetaka. Haruhisa Masuda, Toshio Okada, and Shigenori Kashimura

Department of Synthetic Chemistry, Faculty of Engineering, Kyoto University, Yoshida. Sakyo, Kyoto 606. Japan

(Received in USA 6 August 1990)

Summary: Anodic oxidation of cycloheptatrienes has been found to be one of the most powerful key tools for the preparation of a variety of non-benzenoid aromatic compounds such as tropylium salts, tropones, tropolones, 2H-cyclohepta(blfuran-2-ones, and azulenes.

In our continuing studies⁵⁻⁸ on the anodic oxidation of olefinic systems such as monoolefins, dienes. and trienes, we have found that cycloheptatriene (1) was directly transformed to 7-methoxycycloheptatriene (2) by the anodic oxidation of 1 in MeOH.⁹ The fact that 2 was formed directly from 1 by this very simple procedure is important on the basis of following two viewpoints.

One is that 2 is equivalent to tropylium salt (3) which is one of the key intermediates in the chemistry of non-benzenoid aromatic compounds¹⁰ and has been prepared by a rather troublesome method. 11

Another is that the rather easy formation of 2 from 1 strongly suggests usefulness of the anodic method in the synthesis of other seven membered non-benzenoid aromatic systems.

In the present study the anodic oxidation of cycloheptatriene systems has been applied as the key reaction to the synthesis of a variety of seven membered non-benzenoid aromatic compounds and their related compounds such as tropylium salts, tropones. tropolones. 2Hcyclohepta(bJfuran-2-ones, and azulenes.

Anodic Transformation of 1.3.5Cycloheptatriene to Tropylium 3alt. Tropone. and Tropolones 2a

In our previous preliminary study, 9 the anodic oxidation of 1,3,5-cycloheptatriene (1) had been carried out in MeOH using Et4NOTs. NaOMe. Bu4NBF4 or H_2SO_4 as the supporting electrolytes and afforded 7-methoxy-1,3,5-cycloheptatriene (2) in rather low yield.¹² In this study, however, using a mixture of Et₄NOTs and NaOMe as the supporting electrolyte was found to bring about a dramatical increase in the yield of 2 (scheme 1). 2a

Furthermore, 2 was able to be transformed to the tropylium salt (3) in 96 % yield through its simple treatment with HBF4.

Scheme 1.

1 $HBF₄$ Et₄NOTs/NaOMe MeOH 71% 96 % 3 $(X=BF_A)$

The anodic oxidation of **2 in MeOH** resulted in the formation of benzaldehyde dimethyl acetal (4) through a ring contracting rearrangement (scheme 2), 14 whereas 3-methoxy-1,3,5-cycloheptatriene (5) and 1 -methoxy- 1.3.5-cycloheptatriene (6) prepared by a thermal rearrangement of 2 afforded 7,7-dimethoxy-1,3,5-cycloheptatriene (7) in 83 % and 85 % yield respectively upon the anodic oxidation. The hydrolysis of 7 in 5 % aqueous H_2SO_4 gave tropone (8) in 85 % yield. Thus, the excellent effectivity of this anodic method in the synthesis of 8 was clearly established.

Scheme 2.

The transformation of 7 to α -, β -, and γ -tropolones was achieved also by using the anodic oxidation in the key step (scheme 3). Namely, the thermal rearrangement of 7 yielding an isomeric mixture of dimethoxycycloheptatrienes $(11)^{16}$ and subsequent anodic oxidation of the mixture in MeOH gave a mixture of methyl ethers of β -tropolone (12) and γ tropolone (13). On the other hand, the thermal rearrangement of ethylene acetal of tropone gave 3.4-dioxyethylenecycloheptatriene $(9)^{17}$ as a single product due to the difficulty of formation of other isomers, and the anodic oxidation of 9 gave the ether of α -tropolone (10). Scheme 3

7
\n
$$
\frac{1}{2} \frac{1}{160} \frac{1}{2} \frac{1}{160} \frac{1
$$

Furthermore, some substituted tropones. azulenes, and 2H-cycloheptalblfuran-2-ones have been synthesized by using 5 and 7 as the key intermediates.

Preparation of 2-Alkyl Tropones and Azulenes from 5.

A simple and reliable method for the regioselective introduction of a variety of substituents to the 2-position of 5 has been found in this study and the usefulness of this method was shown in the preparation of some 2-substituted tropones, 2Hcyclohepta[blfuran-2-ones. and azulenes.

As shown in scheme 4, the addition of n-butyl lithium into a solution of 5 in THF gave an anionic species 14 regioselectively and its subsequent reaction with a variety of alkyl halides yielded 2-alkyl-3-methoxycycloheptatrienes (15) . The transformation of 15 to 2alkyltropones (17) has been achieved by the anodic oxidation of 15 followed by hydrolysis of the intermediate 1-alkyl-7,7-dimethoxycycloheptatriene (16). The other results of this transformation are shown in Table 1.

Scheme 4

Table 1. Preparation of 2-Alkyltropones

The fact that 1-alkyl-tropone dimethylacetal 16 was formed by the anodic oxidation of 15 seems to be useful for the preparation of azulene skeleton since one molecule of methanol may be thermally eliminated from $16a$ (R=Me), for instance, to generate 2-methoxyheptafulven (18) in *situ*, and the [2+8] cycloaddition of 18 with dimethyl acetylecarboxylate (19) will give the corresponding azulene.

In fact, the reaction of $16a$ with 19 in refluxing toluene gave the corresponding azulene (20) along with a small amount of methoxylated azulene (21) (scheme 5).

Scheme 5

Preparation of 2-Hydroxyalkyltropones and 2H-Cyclohepta[b]furan-2-one

The reaction of 14 with acetone (scheme 6) gave the corresponding 2-substituted-3 methoxycycloheptatriene (22a) in low yield (Table 2. run 1). while the same reaction carried out in the presence of a catalytic amount (10 mol. %) of CuI was found to result in a remarkable increase in the yield of 22a (Table 2, run 2). Interestingly, the hydrolysis of 22a-c with 20 % aqueous H_2SO_4 directly gave 2-alkyltropones (23a-c), whereas the anodic oxidation of 22a-e gave the corresponding 2-substituted tropones (24a-e) in good yields. Scheme 6

run	$R =$	$R' =$	Yield $(\%)$			Supplied electricity (F/mol)
			(22)	(23)	(24)	$(22 \longrightarrow 24)$
1	CH ₃	CH ₃	$35(22a)^a$	47(23a)	80(24a)	3.2
$\overline{2}$	CH ₃	CH ₃	81(22a)			
3	iso-Pr	н	89 (22b)	80(23b)	55(24b)	5.2
4	$-$ (CH ₂) ₅ -		95(22c)	45 (23c)	33(24c)	10.8
5	CH ₂		94(22d)		68 (24d)	5.5
6	CH ₃	$CH2=CH$	44 (22e)		56 (24e)	3.5
7	n Pr	H	66(22f)			

Table 2. The Reaction of 14 with Ketones.

a) The reaction was carried out without addition of CuI.

The versatility of this reaction has been shown by its application to the facile preparation of 3-methyl-2H-cyclohepta[b]furan-2-one (25) since 25 is a potential key intermediate for the synthesis of azulenes^{18,19}(scheme 7).
Scheme 7

Preparation of Acyloyeioheptatrienes from 5.

Although introduction of an acyl group into the cycloheptatriene ring is usually difficult.²⁰ the addition of a catalytic amount (10 mol. %) of NiBr₂ into the reaction system of 14 with amides (Table 3, runs 1 and 2) or an acid chloride (Table 3. run 3) gave 2-acyl-3 methoxycycloheptatrienes (26) in reasonable yields. Scheme 8

14
$$
\frac{1 \text{ } \text{additive (10 mol. } \%)}{2 \text{ } \text{RCOX}}
$$

Table 3. Preparation of Acylcycloheptatriene.

Preparation of 2- and 4-Substituted Tropones from $7.^{\text{2D}}$

The skeletons of 2- (17) and 4-alkyl tropones (27) are found widely in naturally occurring troponoids, ¹⁰ whereas the hitherto known syntheses^{21,22} of 17 and 27, especially preparation of the latter require many troublesome steps and a simple and reliable method for the regioselective introduction of a substituent R (R=alkyl or aryl group) to the 4-position of tropone ring has not yet been generally established. On the other hand, 7 prepared in this study by the anodic oxidation of 5 was found to be a potential key compound for the regioselective preparation of 17 and 27.

As shown in scheme 9, the reaction of 7 with the Grignard reagent (RMgX) gave a mixture of alkylcycloheptatrienes (28). (29). and (30). whereas the addition of a catalytic amount (10 mol. %) of CuI to the reaction system gave surprisingly different selectivity and 1methoxy-7-alkylcycloheptatriene (28) was obtained as the main product.²⁵ In addition, it has also been found that the reaction of 7 with alkyl lithium (RLi) took place at 3-position of 7 with high regioselectivity and formed 3-methoxy-7alkylcycloheptatriene (29).

Scheme 9.

The anodic oxidation of 28 in MeOH gave 2-alkyl tropones (17) (scheme 10). while the thermal rearrangement of 29 to 31 followed by its anodic oxidation in MeOH afforded 4-alkyl tropones (27) . 26 The results summarized in Table 4 indicate that this reaction is extensively applicable to the preparation of 2- and 4-substituted tropones. For instance, the anodic oxidation of l-methoxy-4-isopropylcycloheptatriene (Sla) (run 3. Table 4) afforded nezukone (27a) in a good yield.

Scheme 10

a) Constant current electrolysis.

A Novel Wittig Type Rearrangement of Alkoxycycloheptatrienes and Its application to the Synthesis of 2H-Cycloheptalblfuran-2-ones.

Furthermore, it was found that a novel Wittig-type rearrangement of alkoxycycloheptatriene (32) yielding hydroxyalkylcycloheptatriene (33) (scheme 11) took place by the treatment of 32 with LDA and this reaction was effective for the preparation of a variety of 33 (Table 5).

Scheme 11

Table 5. Wittig Rearrangement of Alkoxycycloheptatriene.

This reaction was successfully applied to the synthesis of 3-methyl-2H-cyclohepta[bIfuran-2-one (25) by using 33d as the starting material (scheme 12). Scheme 12

The usefulness of the anodic oxidation of cycloheptatrienes as the powerful k tools for the preparation of a variety of non-benzenoid aromatic compounds such as tropylium salts, tropones, tropolones, 2H-cyclohepta[b]]furan-2-ones, and azulenes has been clearly shown in this study.

Acknowledgement. The author (T.S and S.K) thank to the Ministry of Education. Science and **&&n-e,** Japan, for Grant-in Aid for Scientific Research (A) (No. 63430018).

Experimental Section

¹H NMR spectra were recorded on Varian Gemini-200 spectrometer using TMS as an internal standard. IR spectra were obtained on Hitachi 260-10 spectrometer. High resolution mass spectra (HRMS) were measured by a JEOL JES-DX 300. Elemental analyses-were determined by the Center for Instrumental Analysis of Kyoto University. Because of the page Iimitation, spectroscopic data are shown for only some typical compounds.

Anodic **Oxidation of 1.**

Anodic oxidation of 1 (92 g, 1 mol) was carried out in an undivided cell equipped with carbon rod electrodes. Solvent was 300 ml of MeOH containing Et4NGTs (10 g) and NaOMe (5 g) as supporting electrolytes. After 3F /mol of electricity was passed with constant current of 5 A under the conditions of external cooling $(-10-0)$ °C), the reaction mixture was poured into an aqueous solution of NaCl and extracted with ether. The product 2 was isolated by distillation under reduced pressure (62-65 °C/20 mmHg).²⁷

Alkylation of 5.

A solution of n-BuLi (10 mmol) in hexane was added into the solution of 5 (10 mmol) in THF (50 ml) at -78 "C under nitrogen atmosphere. After the solution was stirred at 0°C for 30 minutes, alkylhalide (15 mmol) was added into this solution. The products (15a-e) were purified through silica gel column (Hexane:AcOEt=10:1). 28 15b: IR(neat) 3040, 2980, 2840. 1640, 1230 cm⁻¹, NMR(CDCl₃) δ 2.23(t,2H,J=6.9Hz)), 3,03(d,2H,J=6.3Hz), 3.67(s,3H), 4.78- $5.03(m, 2H)$, $5.20-5.50(m, 2H)$, $5.65-6.20(m, 3H)$. HRMS. Calcd for $C_{11}H_{14}Q:162.1045$; Found: 162.1044.15c; IR(neat) 3020, 2870, 2820, 1630, 1220 cm⁻¹. NMR(CDCl₃) δ 1.85(m,2H), $2.24(t, 2H, J= 6.9Hz)$ $2.48(t, 2H, J=6.6Hz)$, $3.39(t, 2H, J=6.6Hz)$, $3.67(s, 3H)$, $5.25-5.53(m, 2H)$, 5.81(d, 1H, J=6.3Hz), 6.10(dd, 1H, J=6.3 and 9.0Hz). HRMS. Calcd for $C_{11}H_{15}ClO:198.0801$; Found: 198.0803.

Anodic **Oxidation of 5. 6. 9, 11. 15. 22. and 28.**

The anodic oxidation of $5, 6, 9, 11, 15, 22,$ and 28 was performed in MeOH using NaOMe as the supporting electrolyte under similar reaction conditions to those of l.The structures of the products were determined by spectroscopic analysis and HRMS. 28

The anodic oxidation of 11 gave a 1:1 mixture of 12 and 13. 12; IR(neat) 1650, 1560, 1470, 1230, 1210, 1170 cm⁻¹. NMR(CDCl₃) δ 3.77(s, 3H), 6.38-7.27(m, 5H). HRMS. Calcd for $C_8H_8O_2:136.0524$; Found: 136.0517. 13; IR(neat) 1650, 1580, 1530, 1240, 1210 cm⁻¹. $NMR(CDC13)$ δ 3.79(s, 3H), 6.13-7.27(m, 5H). HRMS. Calcd for C₈H₈O₂: 136.0524; Found: 136.0516.

Compounds (16a-e) were not stable enough for HRMS and elemental analysis but the hydrolysis of **16a-e** with 5 % aqueous H₂SO₄ gave 2-alkyltropones (**17a-e**). **16a:** IR(neat) 3020, 2810, 1610, 1130, 1090 cm⁻¹. NMR(CDCl₃) δ 2.06(s, 3H), 3.16(s, 6H), 5.62(d,lH,J=12Hz), 6.32-6.47(m,2H), 6.56-6.65(m.2H). **17b:** IR(neat) 2930. 1740. 1630, 1580, 1470, cm⁻¹. NMR(CDCl₃) δ 3.40(d,2H,J=6.3Hz), 5.02-5.28(m,2H).5.72-6.20(m,1H), 6.87-7.27(m,5H). HRMS. Calcd for $C_{10}H_{10}O: 146.0732$; Found: 146.0730. 17c; IR (neat) 2980. 1740, 1630, 1580, 920 cm⁻¹, NMR(CDCl₃) δ 2.06(m, 2H,), 2.80(t, 2H, J=7.5Hz).

601

3.56(t, 2H, J=6.3Hz), 6.87-7.40(m, 5H). Anal Calcd for $C_{10}H_{11}$ ClO: C, 65.76; H, 6.07; Cl, 19.41, Found: C.65.60: H.5.79: C1.19.62.

Preparation of Azulenes 20 and 21.

The solution of 16a (2.1 mmol) and 19 (1.4 mmol) in toluene (10 ml) was refluxed for 2 hr under ambient atmosphere. The products 20^{29} and 21 were separated through silica gel column (Hexane:AcOEt=2:1). IR (KBr) 2950, 1720, 1600, 1570, 1220 cm⁻¹, NMR(CDCl₃) δ 3.94(s,3H,), 3.96(s,3H). 4.2O(s.3H). 7.18(d,lH,J=lO.GHz), 7.31(t,J=10.6Hz.lH). 7.71(s.lH). 7.82(t, 1H, J = 10.6Hz), 9.32(d, 1H, J = 10.6Hz). Anal Calcd for $C_{15}H_{14}O_5$: C, 65.69; H, 5.15. Found: C.65.95; H.5.40.

Preparation of 22, 23 and 24.

Into a solution of 14 (10 mmol) in THF (50ml) was added CuI (1 mmol) at -78 °C and the solution was stirred for 1 hr at 0 $^{\circ}$ C under nitrogen atmosphere, the solution of acetone (20 mmol) in 5 ml of THF was added and the reaction mixture was stirred for 1 hr at room temperature. The products $(22a-f)$ were purified through silica gel column (Hexane:AcOEt= 5:1). **22a:** IR(neat) 3450, 2980, 1630, 1560, 1230, 1180 cm⁻¹. NMR(CDCl₃) δ 1.37(s, 6H), 2.21 $(t, 2H, J= 6.9Hz)$, 3.65(s, 1H), 3.76(s, 3H), 5.44(td, 1H, J=6.9 and 9Hz), 5.61(t, 1H, J=6.9Hz), 5.88 $(d, 1H, J=6.6Hz)$, 6.10 $(d, 1H, J=6.6$ and 9Hz). HRMS. Calcd for $C_{11}H_{16}O_2:180.1150$; Found: 180.1150.

The hydrolysis of 22a-c with 20 % aqueous H_2SO_4 directly gave 2-alkyltropones (23a. b. and **23c**) (Table 2). **23a;** IR(neat) 3040, 2970, 1670, 1640, 1590, 1470 cm⁻¹. NMR(CDCl₃) δ 1.20 (d, 6H, J=6.6 Hz), 3.48(m, 1H), 6.87-7.27(m, 5H). HRMS. Calcd for $C_{10}H_{12}O$: 140.0888; Found: 140.0882.

The anodic oxidation of 22a-e (10 mmol) in MeOH (30 ml) using NaOMe (1 g) as a supporting electrolyte and the hydrolysis of the resulting products with 5 % aqueous **H2SO4** gave 2-substituted tropones (24a-e). 24a; IR(neat) 3420, 2980, 1700, 1630, 1230 cm⁻¹. $NMR(CDC1₃)$ δ 1.49(s, 6H), 5.59(s, 1H), 6.89-7.47(m, 5H). HRMS. Calcd for C₁₀H₁₂O₂: 164.0837; Found: 164.0827.

Preparation of 25.

Into a solution of 14 (10 mmol) in THF (50ml) was added CuI (1 mmol) at -78°C and the solution was stirred for 1 hr at 0 °C under nitrogen atmosphere. Methyl pyruvate (20 mmol) was added into this solution and the reaction mixture was stirred for 3 hr at room temperature. The crude product was isolated by the extraction with ether and it was treated with 20 % aqueous H₂SO₄ (20 ml). The structure of **25** was determined by the comparison of its spectroscopic values with those of the authentic sample.30

Reparation of 26.

The synthesis of 26 was carried out using a catalytic amount of NiBr2 (10 **mol** %) under otherwise similar reaction conditions to those of 22. The spectroscopic values of 2-formyl-3 methoxycycloheptatriene (table 3, run 1) were as follows: IR(neat) 1710, 1615, 1240 cm⁻¹. $NMR(CDCl₃)$ δ 2.43(dd, 2H, J=6 and 8Hz), 3.80(s, 1H), 5.17-5.42(td, 1H, J=6 and 8H), 5.97-6.54(m, 3H), 9.82(s, 1H). HRMS. Calcd for C₉H₁₀O₂: 150.0704; Found: 150.0683.

Preparation of 29 and 31.

A solution of 7 (10 mmol) in ether (10 ml) was added into a solution of alkyl lithium (25 mmol) in ether (60 ml) at room temperature. The reaction mixture was stirred for 3 hr under nitrogen atmosphere and poured into an aqueous solution of NH4Cl. The product 29 was isolated by silica gel column (hexane: $ACOEt=5:1$). The thermal rearrangement of 29 to form 31 was carried out under nitrogen atmosphere (180 "C. 2hr). 29a;IR(neat) 2970.2840. 1630, 1560, 1180 cm⁻¹. NMR(CDCl₃) δ 1.03(d,6H,J=6.9Hz), 1.43(m,1H), 1.88(m,1H), 3.73(s,3H), 5.17 (dd.lH,J=5.7 and 9Hz). 5.47(dd, lH,J=6.3 and 10.5Hz). 5.89-6.34(m.3H). HRMS.CaIcd for $C_{11}H_{16}O: 164.1201$; Found: 164.1214.

31a; IR (neat) 2960, 2830, 1630, 1260, 1160 cm⁻¹, NMR(CDCl₃) δ 1.10(d, 6H, J=7.2Hz), 1.91(m.ll-I). 2.48(d.2H.J=6.9Hz), 3.59(s.3H). 5.26(d, lH.J=SHz). 5.40(td,lHJ=6.9 and 9Hz). 6.12(d, 1H, J=9Hz), 6.25(d, 1H, J=6.6Hz). HRMS. Calcd for $C_{11}H_{16}O$: 164.1201; Found: 164.1184.

Controlled **Potential Electrolysis of 31.**

Oxidation potentials (E_D) of 29 and 31(6.6 mmol/l) were measured with platinum electrodes (1 x 2 cm) in MeCN containing Et4NOTs (66 mmol/l) as a supporting electrolyte (scanning rate=2OOmV/sec). Controlled potential electrolysis of 31 was carried out in MeOH using NaOMe as a supporting electrolyte. The appIied anode potentials were shown in Table 4. The anodic oxidation of 31a in MeOH followed by hydrolysis (10 % H2SO4) afforded nezukone and its C^{13} -NMR was completely the same as that of authentic sample.³¹

The Wittig Rearrangement of 32 and the Preparation of 25.

A solution of 32 (10 mmol) in THF (10ml) was added into a solution of IDA (12 mmol) in 50 ml of THF at -78°C, and the reaction mixture was stirred for 1 hr at this temperature. The products (33a-e) were isolated by silica gel column (hexane: Et₃N=5:1). 32d; IR(neat) 1750, 1140, 1120, 740, 700 cm⁻¹, NMR(CDCl₃) δ 1.43(d, 3H, J=6.6Hz), 3.50-3.70(m, 1H), 3.72(s, 3H), $4.20(q,1H,J=6.6Hz)$, $6.07-6.33(m,2H)$, $6.38-6.70(m,2H)$, $6.70(t,2H,J=3.4Hz)$. HRMS. Calcd for $C_{11}H_{14}O_3$: 194.0943; Found:194.0946. **32e;** IR (neat) 3020, 2850, 1400, 1110, 925, 700 cm⁻¹, NMR(CDC1₃) δ 3.90(d, 2H, J=6Hz), 5.05-5.29(m, 2H), 5.30-5.59(m, 2H), 5.62-6.03(m, 1H). 6.03-6.30(m,2H), 6.68 (m,2H). Anal Calcd for C₁₀H₁₂O: C,81.04;H,8.16. Found: C,80.29; H,8.18. 33d;IR (neat) 3510, 1735, 1640, 1730, 710cm⁻¹, NMR (CDCl3) δ 1.52(s,3H), 1.60-1.92(m.lH), 3.45(bs,lH). 3.8O(s,3H). 5.22(dd.lH,J=9.3 and 5.7Hz), 5.45(dd,lH.J=9.3 and 5.7Hz). 6.10-6.43(m,2H), 6.70(t, lH.J=3.1Hz). mass spectrum (relative intensity),m/e 194(0.6%).176(15%),91(100%). 33e: IR (neat) 3370, 3020. 2850, 1400. 1140. 995. 925, 700 cm⁻¹, NMR(CDCl₃) δ 1.60-2.06(m,2H), 4.25-4.55(m,1H), 5.15-5.55(m,4H), 5.79-6.20(m,1H), 6.20-6.44(m, 2H), 6.70 (m, 2H). Anal Calcd for $C_{10}H_{12}O$: C, 81.04; H, 8.16. Found: C, 80.81; H.8.33.

The transformation of 33d (Table 5, run 4) to 25 was accomplished by the procedures shown in scheme 12 , and the structure of 25 was determined by the comparison of its spectroscopic values with those of the authentic sample. 30

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